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CASE PP/1-22042/A/CGM 499/PCT

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dolores DeCarminé  
Type or print name

Dolores DeCarminé  
Signature

5/17/02  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF

Group Art Unit: 1713

ANDREAS KRAMER ET AL

Examiner:

APPLICATION NO: 10/019,618

FILED: DECEMBER 20, 2001

FOR: MONO AND MULTIFUNCTIONAL  
ALKOXYAMINES FOR THE  
PREPARATION OF FUNCTIONALIZED  
MACROMERS

Assistant Commissioner for Patents

Washington, D.C. 20231

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Technology Center 2600

**LETTER CORRECTING FILING RECEIPT**

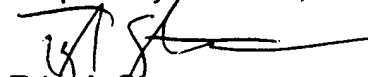
Sir:

The official filing receipt received in the above-identified application erroneously lists the filing date. In reviewing the case, Applicants noted that a clerical error was made in the Notice of Acceptance of Application Under 35 U.S.C. 371 where the 371 date is listed. **Applicants enclose copies of the Notice, the postcard of acknowledgment of receipt and the filing receipt for your review.** Please issue a corrected filing receipt listing the filing date as follows:

**-- December 20, 2002 --.**

Applicants believe this is a clerical error ascribable to the Patent Office. Accordingly, no fee is required.

Respectfully submitted,

  
Tyler A. Stevenson  
Agent for Applicants  
Reg. No. 46,388

Ciba Specialty Chemicals Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591-9005  
(914) 785-2783  
TAS:dd  
Encl.: copy of filing receipt  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,618	Andreas Kramer	PP/1-22042/A/CGM 499/PCT

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/EP00/05899

LA. FILING DATE	PRIORITY DATE
06/26/2000	07/02/1999

000324

CIBA SPECIALTY CHEMICALS CORPORATION

PATENT DEPARTMENT

540 WHITE PLAINS RD

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TARRYTOWN, NY 10591-9005

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ANDREA DeCECCHIS  
DOCKET COORDINATOR  
PATENT DEPARTMENT

CONFIRMATION NO. 1679

371 ACCEPTANCE LETTER



\*OC000000007821413\*

Date Mailed: 04/10/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>12/20/2000</u>	<u>12/20/2001</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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BARBARA A CAMPBELL  
Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

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Case No. PP/1-22042/A/CGM 499/  
Application/Serial No. 10/019618 PCT  
Mailing Date: 12/20/01 EXPRESS  
Due Date: 1/2/02 MAIL

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below:

- ☒ Prelim.  
☒ Amendment - Fee \$ \_\_\_\_\_  
☐ Appeal Brief - Fee \$ \_\_\_\_\_  
☒ Application Filing Papers - Fee \$ 1,016.00  
☒ PCT national stage  
☐ Provisional Application  
☒ PCT Forms 303 & 210  
☐ Assignment Recordation - Fee \$ \_\_\_\_\_  
☐ Associate Power of Attorney  
☐ Claim of Priority  
☐ Certified Copy(ies)  
☒ Declaration and Power of Attorney (2)  
☐ Declaration ☐ Rule 131 ☐ Rule 132  
☐ Foreign Filing license request  
☐ Formal Drawings  
☐ Information Disclosure Statement - Fee \$ \_\_\_\_\_  
☐ Issue Fee Transmittal - Fee \$ \_\_\_\_\_  
☐ Letter/Response  
☐ Notice of Appeal - Fee \$ \_\_\_\_\_  
☐ Petition for Extension of Time - Fee \$ \_\_\_\_\_  
☐ Reply Brief  
☐ Request for Oral Hearing - Fee \$ \_\_\_\_\_  
☐ Request for Certification of Correction - Fee \$ \_\_\_\_\_  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
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10/019,618

12/20/2000

1713

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28

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CONFIRMATION NO. 1679

REPLACEMENT FILING RECEIPT



\*OC000000007870986\*

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TARRYTOWN, NY 10591-9005

APR 23 2002

 ANDREA DeCECCHIS  
 DOCKET COORDINATOR  
 PATENT DEPARTMENT

Date Mailed: 04/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Andreas Kramer, Meyriez, SWITZERLAND;  
 Peter Nesvadba, Marly, SWITZERLAND;  
 Marie-Odile Zink, Steinbach, FRANCE;  
 Wiebke Wunderlich, Bologna, ITALY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/05899 06/26/2000

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 99810567.0 07/02/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Mono and multifunctional alkoxyamines for the preparation of functionalized macromers

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PP/1-22042/A/CGM499/PCT

**Preliminary Class**  
**525**

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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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